07-19-04

ATTORNEY DOCKET

PATENT APPLICATION 10/750,705

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of:

Philip S. Siegel

Serial Number:

10/750,705

Date Filed:

January 2, 2004

Examiner:

Unknown

Title:

RETURN CENTERS WITH RULES-BASED DISPOSITIONING OF MERCHANDISE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as Express Mail No. EV352391234US addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Shannon Judice

March 18, 2004

Date

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form, and previously cited in U.S. Application Serial No. 09/796,337, filed February 28, 2001 by Philip S. Siegel, and entitled *Method and System for Processing the Local Return of Remotely Purchased Products*, be considered and cited in the examination of the above-identified application. Pursuant to 37 C.F.R. § 1.98(d), copies of the references are not being furnished. Applicant is enclosing copies of certain references listed in the attached PTO-1449 form which were not previously cited in the above-mentioned patent application. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

AUS01:341609.1

ATTORNEY DOCKET 067439.0155

المساد الديسية

2

Applicant believes no fees are due for this Information Disclosure Statement, however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 50-2148 of Baker Botts L.L.P. in order to effectuate this filing.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys for Applicant

Ann C. Livingston Reg. No. 32,479

Customer Number 31625

Correspondence Address: Ann Livingston Baker Botts L.L.P. 1500 San Jacinto Center 98 San Jacinto Blvd.

Austin, Texas 78701

(512) 322-2634

Date: 3/16/04

PTO-1449					Application No.		Applicant(s)				
					10/750,705		Philip S. Siegel				
Information Disclosure Citation					Docket Number		Group Art Unit Filing Date		ie		
in an Application					067439.0155		Unknown 01/02/0			4	
	MAR 1 0 ZUU4) U.S. PATENT DOCUMENTS										
3	TRADEM	CUMENT NO.	DATE		NAME		CLASS SUBCLASS		FILIN	FILING DATE	
10	PADEM	5715399	5715399 2/3/98 Bezo		Bezos		395 227		5/30/1995		
	В	5715314	2/3/98		Payne, et al.		380 24		10/2	10/24/1994	
	С	5724424	3/3/98		Gifford		380 24		11/2	11/29/1995	
	D	5727163	3/10/98		Bezos		395 227		3/30/1995		
	E	5812668	9/22/98		Weber		380 24		6/17/1996		
	F	5815657	9/29/98		Williams, et al.		395 186		4/26/1996		
-	G	5828840	10/27/98		Cowan, et al.	395 200.33		0.33	8/6/1996		
	Н	5850446			24	6/17/1996					
	1	5860068	1/12/99		Cook 705 26		26	12/4/1997			
	J	5878139	3/2/99		Rosen		380 24		24	10/16/1996	
	К	5889863	3/30/99		Weber		380 25		25	6/17/1996	
	L	5937394	8/10/99		Wong, et al.		705 26		11/1	11/17/1997	
	M 5943424 8/24/99			Berger, et al.		380		25 6/17/1		7/1996	
	-			FOR	REIGN PATENT DOCUME	NTS			· · · ·		
		DOCUMENT NO.	DOCUMENT NO. DATE COUNTRY CLASS SUBCLASS		YES	SLATION NO					
	N								-		
	0				<u>-</u>					ļ	
	Р									ļ	
	Q										
				N	ON-PATENT DOCUMENT	rs					
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)								DATE	
	R	UPS Autumn 2002 Forum, "The Far East is not so far," 12259 UPS Forum. ENG/SPEC 4 pages							10	10/17/02	
	U.S. Patent Application Serial No. 10/659,465 entitled "Method and System Using Return Labels with										
	s	Dynamically Generated Multiple Datapoint Coding", filed by Edward J. Stashluk Jr. et al. on 9/10/03. (Attorney Docket No. 067439.0141)							9/10/03		
	U.S. Patent Application Serial No. 10/697,485 entitled "Merchandise Return System with Value Addes										
	T Returnds Processing (Data Communication)", filed by Edward J. Stashluk, Jr. on 10/30/03. (Attorney Docket 067439.0138)							_10	/30/03		
	U.S. Patent Application Serial No. 10/751,216 entitled "On-Line Merchandise Return Lables", filed by Philip S. Siegel on 01/02/04 (Attorney Docket 067439.0157)							01	01/02/04		
EXAMINER						DAT	E CONSIDER	ED			
EX	AMINER	Initial if citation consider	ed, whether or ne	ot citation	is in conformance with MPE	P § 609. E	raw line throu	gh citation	if not in con	formance	and not
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.											

Applicant(s) Application No. PTO-1449 10/750.705 Philip S. Siegel Information Disclosure Citation Docket Number Group Art Unit Filing Date in an Application 01/02/04 067439.0155 Unknown **U.S. PATENT DOCUMENTS** SUBCLASS **CLASS FILING DATE** NAME **DOCUMENT NO.** DATE Α Hartman, et al. 705 26 9/12/1997 9/28/99 5960411 В 707 100 12/22/1997 5963949 10/5/99 Gupta, et al. C 26 10/31/1996 10/5/99 705 5963916 Kaplan D 705 40 4/26/1996 10/5/99 Williams, et al. 5963924 Ε 5970469 705 14 3/26/1996 10/19/99 Scroggie, et al. F 6/17/1996 705 40 11/9/99 Haller, et al. 5983208 G 380 24 6/17/1996 11/16/99 Rowney 5987132 Н 49 4/26/1996 Rowney, et al. 380 5987140 11/16/99 1 707 4 6/25/1997 5999924 12/7/99 Bair, et al. J 11/5/1997 Bair, et al. 707 3 6003024 12/14/99 Κ 6/17/1996 380 24 6002767 12/14/99 Kramer L 9/1/1998 707 5 12/21/99 Bowman, et al. 6006225 М 39 4/26/1996 705 1/18/00 Williams, et al. 6016484 FOREIGN PATENT DOCUMENTS TRANSLATION **SUBCLASS** COUNTRY **CLASS** DOCUMENT NO. DATE YES NO Ν 0 Р Q **NON-PATENT DOCUMENTS** DATE **DOCUMENT (Including Author, Title, Source, and Pertinent Pages)** U.S. Patent Application Serial No. 10/750,935 entitled "On-Line Rules-Based Return Processing", filed by Philip S. R Siegel on 01/02/04. (Attorney Docket 067439.0158) 01/02/04 S Т U DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

PT	O-1449)		Application No.	Applicant(s)	Applicant(s)					
	16		Citati	10/750,705	Philip S. Si	Siegel rt Unit Filing Date					
	into	rmation Disclos in an Applica		Docket Number	Docket Number Group Art Unit		Filing Date				
		m an Appno		067439.0155	067439.0155 Unknown			01/02/04			
U.S. PATENT DOCUMENTS											
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE				
	Α	2001/0032143	10/18/01	Haseltine	705	26	12/29/2000				
	В	2001/0037247	11/1/01	Haseltine	705	22	3/12/2001				
	С	2002/0128915	9/12/02	Haseltine	705	26	12/6/2000				
	D	6616189	9/9/03	Raming	283	81	6/8	6/8/2001			
	E	6536659	3/25/03	Hauser et al.	235	375	11/1	11/15/2000			
	F	6547136	4/15/03	Sansone	235	385	11/2	11/27/2000			
	G	2002/0095306	7/18/02	Smith et al.	705	1	9/2	9/28/2001			
	н	2002/0032573	3/14/02	Williams, et al.	705	1	3/2	3/27/2001			
	_	2002/0152093	10/17/02	Chalmers et al.	705	1	3/1	3/13/2002			
	J	2002/0138356	9/26/02	Dutta et al.	705	26	3/2	3/26/2001			
	к	2002/0032612	3/14/02	Williams, et al.	705	26	3/2	3/27/2001			
	L	2003/0023496	1/30/03	De Mol Van Otterloo et al.	705	22	7/5	7/5/2002			
				FOREIGN PATENT DOCUMENTS							
_		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRAN YES	SLATION NO			
	N										
	0			,							
	Р										
	Q										
			<u> </u>	NON-PATENT DOCUMENTS							
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)									
	R										
	S										
	Т										
	U				· ···						
EX	AMINER		 		DATE CONSIDER	ED	•				
EX	EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.										

نة و جو

PTO-1449)		Application No.	Applicant(s	s)				
	.	014 - 41	10/750,705	Philip S.	Philip S. Siegel				
Into	rmation Disclos		Docket Number Gro		Group Art Unit F		Filing Date		
	in an Applic	ation	067439.0155		own	01/02/0)4		
			U.S. PATENT DOCUMENTS	S					
	DOCUMENT NO.	DATE	NAME CLASS		SUE	SUBCLASS		FILING DATE	
А	2001/0047315	11/29/01	Siegel	Siegel 705 28		28	3/26/2001		
В	2001/0032147	10/18/01	Siegel	705 26		26	2/28/2001		
С	2002/0178076	11/28/02	Ross	705		26	5/24	4/2001	
D									
E									
F									
G							ļ		
Н						· · · ·			
ı									
J									
К									
L									
М		<u> </u>							
		FO	REIGN PATENT DOCUMEN	NTS			T		
	DOCUMENT NO.	DATE	COUNTRY	CLASS	CLASS SUBCLASS		YES NO		
N									
0							ļ		
Р									
Q									
		1	NON-PATENT DOCUMENTS	s					
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)							ATE	
R									
S									
т									
U									
EXAMINER				DATE CONSIDERED					
EXAMINER: considered. In	Initial if citation consider	ed, whether or not citation ith next communication to	is in conformance with MPEP of the applicant.	§ 609. Draw line the	rough citation	if not in con	formance	and not	